

XINKONG INTERNATIONAL SECURITIES LIMITED
信控国际证券有限公司

FORM OF CONFIRMATION
(FOR EXISTING CLIENTS COMMENCING TO USE CHINA CONNECT SECURITIES
(NORTHBOUND TRADING) SERVICE (“CHINA CONNECT”))
确认表格
(就现有客户开始使用中华通证券(北向交易)服务(“中华通”))

Instructions:

指示:

Please complete and sign this form, and send this form by post, facsimile or email to XinKong International Securities Company Limited (postal address: 15/F, East Wing, 60 Gloucester Road, Wan Chai, Hong Kong], facsimile number: [(852) 3965 3701], email address: [cs@xinkong.com.hk]).

请填写妥和签署本表格, 然后将本表格以邮寄、传真或电邮方式交回信控国际证券有限公司邮件地址 : [香港湾仔告士打道 60 号 15 楼东] (传真号码: [(852) 3965 3701], 电邮地址: [cs@xinkong.com.hk],)。

阁下如有任何问题, 可致电[(852)3965 3688]查询。

If you have any questions, please contact [(852)3965 3688] for enquiries.

To: XinKong International Securities Limited (“XKISL” or “you”)
致: 信控国际证券有限公司 (“信控国际证券”或 “贵司”)

I/We hereby confirm that I/we have read and fully understood the terms and conditions and Risk Disclosure Statement set out in the appendices 1 and 7 to the Client Agreement in relation to China Connect (together with the newest version of other client agreements and guidance enclosed herein). I/We also acknowledge that I/we was/were invited to ask questions and take independent advice if I/we wish and fully accept the enforceability of such terms against myself/ourselves. I/We agree that the enclosed documents shall become and be replaced as the entire agreement entered into between you and myself/ourselves in relation to the Account (as defined below). I/We hereby further agree and understand the characteristics, restrictions and risks of China Connect, and I/we hereby confirm my engagement of you to provide the relevant services.

本人/我们据此确认, 本人/我们已经阅读及完全理解随附的中华通 – 客户协议之附录 7-补充条款及条件和附录 1-风险披露声明(以及其他客户文件和指引的最新版本)本人/吾等亦承认获邀提出问题及征求独立意见(如本人/吾等有此意愿)并且本人/我们完全接受该等条款对本人/我们的约束力。本人/我们同意附件将被纳入并取代本人/我们与 贵司之间就账户(定义见下文)的完整客户协议。本人/我们亦理解及接受中华通的特点、限制和风险, 而本人/我们于此确认委托 贵司就此向本人/我们提供相关服务。

Please provide China Connect service for my/our Account as stated below:
请为本人/我们的下列账户提供中华通交易服务。

Name of client:

客户姓名: _____

My/Our securities account (the “**Account**”) number (*note 1*):

本人/我们证券账户(“**账户**”)账号 (*备注 1*): _____

Signature (*note 2*)

签署 (*备注 2*)

Notes:

备注:

1. If there is more than one Account is held, please write and include all the Accounts.
如果持有多于一个账户, 请列出所有账号。
2. If the Account is held in joint names, all joint account holders should also sign this form. The signature(s) shall be the same as per the specimen signature records of HKISL.
对于联名账户, 所有联名账户持有人均需签署。本表格上的签名必须与信控国际证券的式样相同。